

**IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF PENNSYLVANIA**

II. MONETARY AWARD CLAIMS

2. ***Total Claims Received.*** Sections 3, 4 and 5 of the Summary Report on the Settlement Website show the total Monetary Award claims submitted. We have received 31 new Monetary Award claims since Status Report No. 14 and have completed a review of all but eight claims. As of January 10, 2022, the total Monetary Award Claim Packages received from Retired NFL Football Players and Representative Claimants is 3,271² (20% of the 16,140 Retired NFL Football Players and Representative Claimants who received favorable registration determinations).³ Twelve of the 3,271 claims were denied as untimely.⁴ We have received about three new claims a week since Status Report No. 14 in October 2021. Of the 3,271 Monetary Award claims submitted, 1,978 (60%) rest on pre-Effective Date diagnoses, while 1,038 (32%) are for post-Effective Date diagnoses, of which 308 (30% of the 1,038) were made in the Baseline Assessment Program (“BAP”)⁵ and 730 (70% of the 1,038) were made by Qualified MAF Physicians.⁶ The other 255 claims did not tell us what diagnosis date they assert. Table 1 compares these numbers to those in Status Report No. 14:

² Section 3 of the Summary Report indicates that 3,301 Monetary Award Claim Packages have been submitted, which includes 30 Supplemental Monetary Award claims that we do not include in the rest of our numbers in this section of the Status Report. See section III of the Status Report for details on the Supplemental Monetary Award claims.

³ Of these Monetary Award claims, 599 (18%) have at least one associated Derivative Claimant who has registered and 2,672 (82%) have no registered Derivative Claimants. Note the total number of Retired NFL Football Players and Representative Claimants with favorable registration determinations (16,140) is shown in Section 2 of the Summary Report on the Settlement Website (BAP Eligible + Not BAP Eligible); of these, 2,860 unique Settlement Class Members submitted the 3,271 claims.

⁴ We reviewed 33 claims for potential untimeliness and denied 12 as untimely. We accepted 21 as timely, which includes two claims that showed substantial hardship under Section 8.3(a)(i) of the Settlement Agreement.

⁵ Although a Retired NFL Football Player may have received a Qualifying Diagnosis in the BAP, we do not report on it until he (or his Representative Claimant) submits a Claim Package seeking a Monetary Award. The BAP Administrator’s status reports explain more about BAP diagnoses.

⁶ This includes claims where the Settlement Class Members submitted claims after the Effective Date, but the diagnoses were not rendered by MAF Physicians. Given unique circumstances, the Parties allowed an AAP member or AAP Consultant to review the medical records to determine if there was a Qualifying Diagnosis.

Table 1		QUALIFYING DIAGNOSIS DATES IN MONETARY AWARD CLAIMS					
	DATE	HOW MANY			% OF TOTAL		
		AS OF 10/11/21	AS OF 1/10/22	CHANGE	AS OF 10/11/21	AS OF 1/10/22	CHANGE
1.	Pre-Effective Date	1,978	1,978	0	61.0%	60.5%	-0.5%
2.	Post-Effective Date	1,010	1,038	+28	31.2%	31.7%	+0.5%
	(a) BAP	301	308	+7	9.3%	9.4%	+0.1%
	(b) MAF	709	730	+21	21.9%	22.3%	+0.4%
3.	No Date Asserted	252	255	+3	7.8%	7.8%	0
4.	Totals	3,240	3,271	31			

Table 2 shows by diagnosis date how many claims we have for each type of Qualifying

Diagnosis:

Table 2		QUALIFYING DIAGNOSES PRESENTED IN MONETARY AWARD CLAIMS		
	DIAGNOSIS	PRE-EFFECTIVE DATE	POST-EFFECTIVE DATE	
			MAF	BAP
1.	Death with CTE	124	0	N/A
2.	ALS	51	10	
3.	Alzheimer's Disease	425	130	
4.	Parkinson's Disease	137	64	
5.	Level 2	505	201	109
6.	Level 1.5	736	324	189

We highlight the asserted Qualifying Diagnoses and diagnosis dates in Sections 4 and 5 of the Summary Report on the Settlement Website. We also show the current status of all Monetary Award claims based on the last notice or action taken in Section 8 of the Summary Report on the Settlement Website. There are 33 claims (1% of 3,271) in our review process after an initial Claim Package submission or a response to a notice requesting additional information and/or documents (see Row 1 in Section 8 of the Summary Report, which includes one Supplemental claim).

3. *Monetary Awards and Payments.*

(a) We show Monetary Awards and payments in Sections 7 and 11 of the Summary Report on the Settlement Website. We have issued Notices of Monetary Award for 1,356 claims totaling \$944,503,499.⁷ We request funding from the NFL Parties by the 10th of each month (or the next business day if the 10th falls on a weekend or holiday) for claims that have received a Notice of Monetary Award for which the appeals process is complete (or the appeal deadline has passed with no appeal) and that are not in Audit. Of the 1,356 claims with Notices of Monetary Award, we requested \$938,065,456 from the NFL Parties for all 1,341 claims that have reached the point at which we can request funding. The NFL Parties have deposited funds for all 1,341 of those claims.⁸ Of the 1,341 Monetary Award claims for which the NFL Parties have deposited funds, the Program paid 1,311 claims for a total of \$861,195,393.⁹ The remaining 30 funded claims were not yet ready for payment when we submitted the most recent Disbursement Report; some have holds preventing payment, some do not have a submitted Payment Election Form or SWS-5, and some have holdbacks for potential Liens which, together with the 5% deduction for the Common Benefit Fund, exceeded the gross award amount. For the 1,311 Retired NFL Football Players and Representative Claimants who have been paid, the Trustee sent \$46,732,492 (5% of those Monetary Awards) to the Attorneys' Fees Qualified Settlement Fund, in accordance with the

⁷ The amount of these Notices of Monetary Award includes the 1% Derivative Claimant Award deductions allocated to eligible Derivative Claimants (see Paragraph 21 of this Status Report). Section 7 of the Summary Report indicates that we have issued Notices of Monetary Award for 1,379 claims totaling \$951,692,992, which includes 20 Supplemental Monetary Award claims. We do not cover Supplemental Monetary Award claims in our numbers in this section of the Status Report. See section III of this Status Report for details on Supplemental Monetary Award claims.

⁸ The NFL Parties have 30 days from the date of the Funding Request to fund the amount requested.

⁹ Section 7 of the Summary Report indicates that we have issued Payments to 1,324 Retired Players and Representative Claimants totaling \$862,767,487, which includes Supplemental Monetary Award payments to 13 claimants. We do not cover Supplemental Monetary Award claims in our numbers in this section of the Status Report. See Section III of this Status Report for details on Supplemental Monetary Award claims.

Court's June 27, 2018 Order Regarding the Common Benefit Fund (Document 10104).

Finally, we are required to withhold money for unresolved Liens and for third-party funders.

Table 3 shows the distribution of the \$861,195,393 paid by the Settlement Program and compares the totals to those reported in Status Report No. 14:

Table 3		MONETARY AWARD PAYMENTS		
	PAID TO	AS OF 10/11/21	AS OF 1/10/22	CHANGE
1.	Settlement Class Members (or their lawyers on their behalf, if represented)	\$790,546,919	\$830,914,775	\$40,367,856
2.	Medical Lienholders (on behalf of Settlement Class Members to the Lien Resolution Administrator to resolve medical Liens when a final Lien resolution amount is determined)	\$3,855,582	\$3,885,103	\$29,521
3.	Non-Medical Lienholders (on behalf of Settlement Class Members to lienholders to resolve non-medical Liens)	\$11,860,242	\$11,939,056	\$78,814
4.	Third-Party Funders (on behalf of Settlement Class Members to third-party funders who have accepted rescission of and/or terminated prohibited assignments that they had entered into with Settlement Class Members)	\$12,869,803	\$14,456,459	\$1,586,656
5.	Totals	\$819,132,546	\$861,195,393	\$42,062,847

(b) Table 4 shows the changes in figures for payments and claims with Notices of Monetary Award since Status Report No. 14:

Table 4		MONETARY AWARD CHANGES SINCE STATUS REPORT NO. 14					
	STATUS	HOW MANY			AMOUNT		
		AS OF 10/11/21	AS OF 1/10/22	CHANGE	AS OF 10/11/21	AS OF 1/10/22	CHANGE
1.	Notice of Monetary Award Issued	1,326	1,356	30	\$922,570,383	\$944,503,499	\$21,933,116
2.	Paid	1,253	1,311	58	\$819,132,546	\$861,195,393	\$42,062,847

(c) Table 5 shows how many claims for each type of Qualifying Diagnosis have received a Notice of Monetary Award and been paid:¹⁰

Table 5		MONETARY AWARDS AND PAYMENTS BY QUALIFYING DIAGNOSIS ¹¹				
	DIAGNOSIS	CLAIMS SUBMITTED	NOTICE OF MONETARY AWARD		PAID	
			HOW MANY	% ¹²	HOW MANY	% ¹³
1.	Death with CTE	124	80	65%	80	65%
2.	ALS	61	44	72%	44	72%
3.	Alzheimer's Disease	555	375	68%	367	66%
4.	Parkinson's Disease	201	171	85%	167	83%
5.	Level 2	815	241	30%	226	28%
6.	Level 1.5	1249	445	36%	427	34%

4. *Monetary Award Claims Reviewed by the AAP.*

(a) In addition to reviewing claims based on pre-Effective Date diagnoses, the Appeals Advisory Panel (“AAP”) and Appeals Advisory Panel Leadership Council (“AAPLC”) assist us with the medical aspects of claims review. Many of the claims being reviewed by the AAP are based on Level 1.5 or Level 2 Neurocognitive Impairment diagnoses for which the AAP members may request input from Appeals Advisory Panel

¹⁰ Section 8 of the Summary Report on the Settlement Website identifies where claims that have not received a Notice of Monetary Award or been paid stand in the process.

¹¹ These do not include claims submitted where the Settlement Class Member asserted more than one Qualifying Diagnosis or the claim is so incomplete that we cannot tell what Qualifying Diagnosis is claimed.

¹² This column shows the percentage of claims submitted with the Qualifying Diagnosis in each row that received a Notice of Monetary Award.

¹³ This column shows the percentage of claims submitted with the Qualifying Diagnosis in each row that were paid.

Consultants (“AAPC”), as highlighted in sub-paragraph (b) below. The AAP has completed reviews on 1,140 pre-Effective Date diagnosis Monetary Award claims¹⁴, approving 562 (49%) of those claims.¹⁵ Under [FAQ 151](#) (“Can I be found eligible for a Monetary Award based on a Qualifying Diagnosis that is different than the one I claimed?”), the AAP has found a lower level diagnosis (meaning a Qualifying Diagnosis that is less severe medically or with a lower Award amount under the Monetary Award Grid) on 126 claims. In accordance with Rules 23 and 27 of the Rules Governing Qualified MAF Physicians, the AAP and AAPLC have reviewed 289 out of the 730 total Monetary Award claims submitted for diagnoses made by Qualified MAF Physicians, approving 112 (39%) of those claims.¹⁶ Section 2 of Exhibit A-2 to the Settlement Agreement states that a Player’s failure on two or more effort tests may result in the Player’s test results being subject to independent review. Under that provision, as well as Section 8.6(b) of the Settlement Agreement, which provides the Claims Administrator with the discretion to verify and investigate the sufficiency of a Claim Package to determine if it qualifies for a Monetary Award, the AAP and AAPLC have reviewed 53 claims out of the 308 total claims submitted based on Qualifying Diagnoses made through the BAP, approving 26 (49%) of those reviewed claims.

(b) We have assigned 748 claims (an increase of nine since Status Report No. 14) to the AAPC based on requests by AAP members for their input on Level 1.5 and Level 2

¹⁴ This figure includes those claims reviewed by the AAP under Section 8.6(b) of the Settlement Agreement, which provides the Claims Administrator with the discretion to verify and investigate the sufficiency of a Claim Package to determine if it qualifies for a Monetary Award.

¹⁵ Broken down by Qualifying Diagnosis, the AAP members approved 100% of Death with CTE claims, 95% of ALS claims, 76% of Alzheimer’s claims, 87% of Parkinson’s claims, 27% of Level 2 claims and 29% of Level 1.5 claims. The number of claims approved or denied by the AAP may fluctuate depending on multiple factors including ongoing audit investigations, remands of AAP decisions, and re-review of determinations that have not yet been finalized to ensure compliance with current Settlement Program rules and guidelines.

¹⁶ This figure includes those claims reviewed by the AAP under Section 8.6(b) of the Settlement Agreement as well as claims based on diagnoses made by Qualified MAF Physicians who have been terminated from participation in the Program under Rule 27 of the Rules Governing Qualified MAF Physicians.

Neurocognitive Impairment Qualifying Diagnoses or the neuropsychological testing supporting an Alzheimer's Disease diagnosis. The AAPC have completed 744 reviews (99.5% of those assigned to them) and provided their assessments to the AAP and are reviewing the four pending claims.

5. *Notices for Missing Materials.* We have sent one or more notices requesting additional documents or information on 2,187 Monetary Award claims (three more since Status Report No. 14), as shown in Table 6:

Table 6		NOTICES FOR MISSING MATERIALS							
	CLAIMS	DEATH WITH CTE	ALS	ALZHEIMER'S DISEASE	PARKINSON'S DISEASE	LEVEL 2	LEVEL 1.5	MULTIPLE/ UNKNOWN ¹⁷	TOTAL
1.	Total Reviewed	124	61	552	201	815	1,247	263	3,263
2.	Notice Issued	48	29	322	105	568 ¹⁸	887	228	2,187
3.	% Missing Materials	39%	48%	58%	52%	70%	71%	87%	67%

So far, 87% of the Settlement Class Members who received a notice requesting additional documents have responded to the notice. Settlement Class Members take an average of about 59 days to respond. We generally receive up to one response to these notices each week and review each reply to determine if it cures the problem. Of those who responded, 44% cured the problem. Section 6 of the Summary Report on the Settlement Website shows how many claims last received a notice requesting additional documents/information.

¹⁷ These "Multiple/Unknown" claims are ones where the Settlement Class Member asserted more than one Qualifying Diagnosis, or the claim is so incomplete that we cannot tell what Qualifying Diagnosis is claimed. We process and pay a person for only one Qualifying Diagnosis per claim submission.

¹⁸ The number of Level 2 claims with notices issued decreased by two since Status Report No. 14 because their Qualifying Diagnoses changed to Level 1.5 after going through review.

6. *Statute of Limitations Matters.* The Rules Governing Statute of Limitations Proceedings describe how the Special Masters determine whether a wrongful death or survival claim filed by the Representative Claimant of a Retired NFL Football Player who died before January 1, 2006, would be barred by the Statute of Limitations under applicable state law. We have received 136 Claim Packages from Representative Claimants on behalf of Retired NFL Football Players who died before January 1, 2006.¹⁹ Statute of Limitations Rule 7 requires that a Claim Package be complete before a statute of limitations proceeding may begin. Of the 136 claims we received and processed: (a) 73 were complete and asserted a potentially compensable Qualifying Diagnosis if the claim is not time-barred under Settlement Agreement Section 6.2(b); (b) one was withdrawn; and (c) 62 were denied for failing to cure deficiencies or for not asserting a compensable Qualifying Diagnosis.

The Special Masters originally stayed a decision on all claims presenting this issue until all were fully briefed, to permit all affected Representative Claimants to be heard before any ruling and allow for the consideration of all arguments in a uniform and efficient manner. On December 11, 2019, the Court appointed United States Magistrate Judge Diane M. Welsh as the Mediator to seek to resolve all claims implicated by Section 6.2(b) of the Settlement Agreement.

As reported in Status Report No. 14, 72 of the 73 claims that were complete and asserted a potentially compensable Qualifying Diagnosis were withdrawn, which simultaneously concluded the pending claim and the Statute of Limitations briefing under

¹⁹ We received Registration Forms from 370 Representative Claimants on behalf of Retired NFL Football Players who died before January 1, 2006, but 134 of them did not properly register because of uncured incompleteness reasons or the player was not a Settlement Class Member because he did not play NFL Football as required by the Settlement Agreement. Of the 236 Representative Claimants who successfully registered, 100 (42%) did not submit a Claim Package.

Rule 29 of the Rules Governing Statute of Limitations Proceedings. On November 11, 2021, the one remaining pending Monetary Award claim to be adjudicated by the Special Master under the applicable Rules was successfully mediated to resolution and then formally withdrawn. All Statute of Limitations matters have been resolved and this paragraph will not appear in future Claims Administrator Status Reports.

7. ***Monetary Award Denials.*** There are 1,095 denials of Monetary Award claims for reasons other than an Audit (13 more since Status Report No. 14), as shown in Table 7.²⁰

Table 7		MONETARY AWARD DENIALS							
	CLAIMS	DEATH WITH CTE	ALS	ALZHEIMER'S DISEASE	PARKINSON'S DISEASE	LEVEL 2	LEVEL 1.5	MULTIPLE/ UNKNOWN	TOTALS
1.	Total Reviewed	124	61	552	201	815	1,247	263	3,263
2.	Denied	41	3	76	11	259	466	239	1,095
3.	% Denied	33%	5%	14%	5%	32%	37%	91%	34%

Sections 6 and 10 of the Summary Report on the Settlement Website show these denials and the reasons for them. Overall, the AAP found 641 (52%) of these claims did not reflect a valid Qualifying Diagnosis. When an AAP member denies a claim, we include in the notice comments from that AAP member explaining why. When a claim is denied on other grounds, we typically call or email the Settlement Class Member (or his or her lawyer, if represented) to explain why the claim is being denied and discuss options for resubmitting the claim, appealing the denial, or withdrawing the claim until the claimant can obtain the missing mandatory information and/or documents. Our denial notices explain all appeal rights and that another claim may be submitted if circumstances change or if the claimant receives a new diagnosis from a Qualified MAF Physician or after a BAP exam. Settlement

²⁰ The 1,095 denials are the count of claims where the most recent determination notice is a denial notice. We discuss Audit denials in Paragraph 18 of this Status Report.

Class Members have appealed a total of 398 denial notices; five of the currently active denial notices are under appeal.

III. SUPPLEMENTAL MONETARY AWARD CLAIMS

8. *Supplemental Monetary Award Claims Received.* A Retired NFL Football Player (or Representative Claimant) who was paid a Monetary Award may be eligible for a Supplemental Monetary Award if the Retired NFL Football Player later is diagnosed while living with a different Qualifying Diagnosis. The new Qualifying Diagnosis must have occurred after the Qualifying Diagnosis for which the Award was paid and the amount payable for the new Qualifying Diagnosis must be more than the Monetary Award already paid. We have received claims from 28 Retired NFL Football Players and two Representative Claimant seeking Supplemental Monetary Awards, 24 for Qualifying Diagnoses of Alzheimer's Disease, one for Parkinson's Disease, and five for a Level 2 Neurocognitive Impairment diagnosis. This is one more Supplemental claim than we reported in Status Report No. 14.

9. *Supplemental Monetary Award Reviews and Payments.* A Supplemental Monetary Award is the difference between the Monetary Award Grid value of the new Qualifying Diagnosis and the amount of the earlier Award for a different Qualifying Diagnosis. We have issued 23 Notices of Supplemental Monetary Award to eligible Retired NFL Football Players and denied four claims for a Supplemental Monetary Award. The combined Monetary Award Grid value of these 23 Supplemental Monetary Awards was \$14,668,321, but after subtracting the prior Monetary Award payments, totaled \$7,179,513, as set out below in Table 8:

Table 8		SUPPLEMENTAL MONETARY AWARD CALCULATIONS			
	SUPPLEMENTAL CLAIM		PREVIOUSLY PAID CLAIM		SUPPLEMENTAL MONETARY AWARD AMOUNT
	QUALIFYING DIAGNOSIS	AMOUNT	QUALIFYING DIAGNOSIS	AMOUNT	
1.	Alzheimer's Disease	\$187,217	Level 1.5	\$93,904	\$93,313
2.	Alzheimer's Disease	\$713,504	Level 1.5	\$255,175	\$458,329
3.	Level 2	\$268,132	Level 1.5	\$153,105	\$115,027
4.	Alzheimer's Disease	\$316,188	Level 1.5	\$99,620	\$216,568
5.	Alzheimer's Disease	\$118,571	Level 1.5	\$41,604	\$76,967
6.	Alzheimer's Disease	\$404,326	Level 2	\$253,783	\$150,543
7.	Level 2	\$78,737	Level 1.5	\$55,125	\$23,612
8.	Alzheimer's Disease	\$202,588	Level 1.5	\$71,974	\$130,614
9.	Alzheimer's Disease	\$119,170	Level 1.5	\$42,870	\$76,300
10.	Alzheimer's Disease	\$369,420	Level 1.5	\$158,094	\$211,326
11.	Level 2	\$323,674	Level 1.5	\$200,261	\$123,412
12.	Alzheimer's Disease	\$1,154,435	Level 2	\$853,340	\$301,095
13.	Alzheimer's Disease	\$513,562	Level 1.5	\$179,936	\$333,626
14.	Alzheimer's Disease	\$409,986	Level 1.5	\$218,420	\$191,566
15.	Alzheimer's Disease	\$882,550	Level 2	\$667,538	\$215,012
16.	Alzheimer's Disease	\$190,752	Level 1.5	\$75,719	\$115,033
17.	Level 2	\$116,522	Level 1.5	\$68,022	\$48,500
18.	Alzheimer's Disease	\$1,024,966	Level 1.5	\$387,302	\$637,664
19.	Alzheimer's Disease	\$1,225,644	Level 2	\$992,598	\$233,046
20.	Alzheimer's Disease	\$1,154,435	Level 1.5	\$494,045	\$660,390
21.	Parkinson's Disease	\$2,049,932	Level 1.5	\$722,870	\$1,327,062
22.	Alzheimer's Disease	\$2,330,449	Level 1.5	\$1,205,884	\$1,124,565
23.	Alzheimer's Disease	\$513,562	Level 1.5	\$197,618	\$315,944
24.	Totals	\$14,668,321		\$7,488,809	\$7,179,513

The Program has paid 11 Retired NFL Football Players and two Representative Claimants a total of \$1,572,095 for their Supplemental Monetary Awards.

IV. QUALIFIED MAF PHYSICIANS

10. *Maintaining the MAF Network.*

(a) Settlement Class Members and lawyers can locate Qualified MAF Physicians using the [MAF Physician Locator Tool](#) on the Settlement Website. There is a total of 74 Qualified MAF Physicians on the website now, representing 33 of the 53 target cities closest to where the majority of living Retired NFL Football Players reside. We hope to add another 25 approved Qualified MAF Physicians as we confirm their participation and/or contract with them. Table 9 shows the changes in these numbers since Status Report No. 14:

Table 9		QUALIFIED MAF PHYSICIANS		
	ASPECT	AS OF 10/11/21	AS OF 1/10/22	CHANGE
1.	Approved Physician – On Posted List	79	74	-5
2.	Target Cities Represented by Approved Physicians on Posted List	34	33	-1
3.	Approved Physician – Not Yet Posted	23	25	+2

We have removed five physicians from the website. These five physicians, affiliated with the same practice, previously were placed in a “temporarily removed” status, have been removed permanently from the posted list because their practice withdrew its participation. The Parties²¹ have approved two new Qualified MAF Physicians since Status Report No. 14. We are still working with the 25 approved physicians to get their signed contracts in place so we can add them to the posted list. We are also in contact with the physician who changed practices to invite his new practice to contract with us.

(b) We have 560 physicians on our radar, 12 of whom Class Counsel and/or the NFL are considering whether to approve as Qualified MAF Physicians, 299 with whom we are engaged in

²¹ The “Parties” are the parties to the Settlement Agreement, Class Counsel and the NFL Parties. Our contacts with Class Counsel are at Seeger Weiss LLP. We work with counsel for the NFL Parties at Paul, Weiss, Rifkind, Wharton & Garrison LLP.

initial and follow-up communications to determine interest and 249 who we have identified as possibly having appropriate qualifications but who we need to research more fully before contacting.

11. 150-Mile Rule.

(a) Rule 9 of the Rules Governing Qualified MAF Physicians requires that a Retired NFL Football Player be examined by a Qualified MAF Physician whose office is within 150 miles of his primary residence.²² We can make exceptions to this 150-Mile Rule if the exception is requested prior to the appointment. We have received 124 requests for exceptions to the 150-Mile Rule, of which we granted 98 (79%) and we denied 23 (19%). There are currently three (2%) requests pending while we await more information from the requesting law firm or *pro se* Player. Table 10 shows the changes since Status Report No. 14:

Table 10		REQUESTS FOR EXCEPTIONS TO 150-MILE RULE					
	DECISION	HOW MANY			% OF TOTAL		
		AS OF 10/11/21	AS OF 1/10/22	CHANGE	AS OF 10/11/21	AS OF 1/10/22	CHANGE
1.	Granted	85	98	+13	79%	79%	0%
2.	Denied	22	23	+1	21%	19%	-2%
3.	Pending	0	3	+3	0%	2%	+2%
4.	Totals	107	124	+17			

(b) The 150-Mile Rule is a flexible rule with broad exceptions. Of the living Retired NFL Football Players registered in the Program, 85.7% have a Qualified MAF Physician within 150 miles of their primary residence. We work with those who do not to help them

²² This requirement applies only to appointments made after April 11, 2019. Appointments made on or before April 11, 2019, did not need to be rescheduled with a different Qualified MAF Physician.

schedule appointments with physicians further away, when they tell us they are ready to be examined.

12. 50-Mile Rule. Rule 10(b) of the Rules Governing Qualified MAF Physicians requires that, where neuropsychological testing is necessary as part of an MAF examination, the Qualified MAF Physician must refer a Retired NFL Football Player to a neuropsychologist who is located within 50 miles of the Qualified MAF Physician's office. Like the 150-Mile Rule, we have discretion to grant exceptions. We have received 23 requests for exceptions to the 50-Mile Rule, of which we granted 21 (91%) and denied 2 (9%). Table 11 shows how many exception requests we have received and our decisions on those requests (an increase of three since Status Report No. 14):

Table 11		REQUESTS FOR EXCEPTIONS TO 50-MILE RULE					
	DECISION	HOW MANY			% OF TOTAL		
		AS OF 10/11/21	AS OF 1/10/22	CHANGE	AS OF 10/11/21	AS OF 1/10/22	CHANGE
1.	Granted	18	21	+3	90%	91%	+1%
2.	Denied	2	2	0	10%	9%	-1%
3.	Totals	20	23	+3			

Of the 74 Qualified MAF Physicians who are actively scheduling appointments, 68 (92%) have an approved neuropsychologist within 50 miles of their office, and we will grant exceptions on a case by case basis for the six Qualified MAF Physicians who do not.

13. Deviation Explanations for Level 1.5 and Level 2 Diagnoses. Under Rule 20 of the Rules Governing Qualified MAF Physicians, we request an explanation from a Qualified MAF Physician and/or neuropsychologist whenever the BAP criteria are not strictly followed on a diagnosis of Level 1.5 or Level 2 Neurocognitive Impairment and we determine more information is needed. We cannot process these claims further until we receive the required explanation. We report on these claims in Section 8 (Row 2) of the

Summary Report on the Settlement Website. There are currently six claims that require additional explanation from a Qualified MAF Physician and/or neuropsychologist, representing less than 1% of all Claim Packages submitted to the Program, two claims (33.3%) based on a diagnosis of Level 1.5 Neurocognitive Impairment and four claims (66.7%) based on a diagnosis of Level 2 Neurocognitive Impairment. Table 12 shows how many claims require additional explanation from the Qualified MAF Physician before we can issue award or denial notices:

Table 12		CLAIMS REQUIRING ADDITIONAL EXPLANATION FROM QUALIFIED MAF PHYSICIANS					
	DECISION	HOW MANY			% OF TOTAL		
		AS OF 10/11/21	AS OF 1/10/22	CHANGE	AS OF 10/11/21	AS OF 1/10/22	CHANGE
1.	Level 1.5	3	2	-1	33.3%	33.3%	0%
2.	Level 2	6	4	-2	66.7%	66.7%	0%
3.	Totals	9	6	-3			

14. AAP Leadership Council. Two AAP members serve as our AAP Leadership Council to provide advice and assistance on medical issues arising in our oversight of the Qualified MAF Physician Network. We enlist their help, as needed, to review specific claims or groups of claims to determine compliance by Qualified MAF Physicians with the Settlement Agreement, the Qualified MAF Physician Manual and any guidance materials or instructions we issued, and whether Claim Packages reflect and support the stated Qualifying Diagnoses. In addition, the AAP Leadership Council assists us by participating in our calls with Qualified MAF Physicians to offer medical guidance on making diagnoses under the Settlement Agreement criteria. The AAP Leadership Council also facilitates discussions and solicits guidance from the MAF Steering Committee, a group of six Qualified MAF Physicians, who render advice and assistance on providing peer-to-peer feedback to

Qualified MAF Physicians and provide suggestions on how to improve the operations and performance of the network of Qualified MAF Physicians. Overall, our collaboration with the AAP Leadership Council has been successful, and the Qualified MAF Physicians have responded positively to receiving feedback from AAP members.

15. *MAF Steering Committee.* Six Qualified MAF Physicians serve on the MAF Steering Committee as authorized by Rule 24 of the Rules Governing Qualified MAF Physicians. The Committee members have participated in regular roundtable discussions with the AAP Leadership Council, assisted in developing training for the Qualified MAF Physicians to address most common issues, and provided other suggestions for improvement of the Qualified MAF Physicians network. Overall, the peer-to-peer communications regarding the operation and performance of the network of Qualified MAF Physicians has been positive.

V. AUDIT

16. *Closed Audits.* We have concluded the Audit investigations of 1,248 Settlement Class Members with Monetary Award claims by denying a claim through Audit, by making no adverse finding and removing a claim from Audit, or because the Settlement Class Member withdrew his or her claim during our Audit. Table 13 summarizes the reasons for these closures and changes in the numbers since Status Report No. 14:

Table 13		CLOSED AUDITS		
	REASON FOR CLOSURE	AS OF 10/11/21	AS OF 1/10/22	CHANGE
1.	Claim Denied in Audit	372	372	0
2.	No Finding of Misrepresentation, Omission, or Concealment	615	672	+57
3.	Claim Withdrawn by Settlement Class Member	204	204	0
4.	Totals	1,191	1,248	+57

17. Reports of Adverse Finding in Audit. We have issued to the Parties 20 Reports of Adverse Finding in Audit (the same as we reported since Status Report No. 11) affecting 566 Monetary Award claims, all 20 of which were then referred to the Special Masters. The 20 Audit Reports concern four neurologists, 12 neuropsychologists, three law firms, seven individual Settlement Class Members and one claims preparation company. Table 14 summarizes the Special Masters' and Court's decisions on these Audit reports:

Table 14		DECISIONS ON AUDIT REPORTS	
	DECISION	AUDIT REPORTS	
1.	Claims Denied in Audit	12	
2.	Claims Removed from Audit and Subjected to Specialized Review	3	
3.	Claims Removed from Audit and Returned to Normal Review	3	
4.	All Claims Withdrawn before Decision	1	
5.	Special Master Decision Issued, Objection Filed	1	
6.	Total	20	

18. Audit Proceeding Decisions. We have denied 372 claims after Audit based on decisions by the Court or Special Masters.²³ Sections 6 and 8 (Row 17) of the Summary

²³ Of the 372 denials, 195 are associated with providers the Special Masters disqualified from participating in the Program: neurologist Dr. Ena Andrews (47 claims) and neuropsychologists Dr. Serina Hoover (139 claims), Dr. August Dolan-Henderson (four claims) and Dr. Darren Fuerst (five claims). Six individual Retired NFL Football Players' claims have been denied. The Special Masters directed us to deny 170 claims based on their findings related to the Special Investigator's investigation of a law firm. We denied the remaining claim for failing to cooperate with our requests for information during an Audit.

Report on the Settlement Website show these denials. A Settlement Class Member whose claim is denied after Audit may submit a new claim if based on a Qualifying Diagnosis that does not rely on records or opinions from disqualified doctors. We have received 97 new claims submitted by Settlement Class Members following an Audit Denial, of which 30 have been paid or are in the payment process.

19. *Ongoing Audit Investigations.* We have other Audit investigations underway affecting 12 Monetary Award claims (eight more than the number we reported in Status Report No. 14). All 12 are individual claims.

20. *Claims Investigated More than Once.* Claims on which we have concluded an Audit may be the subject of another Audit if we later learn of information that requires further investigation. We notify Settlement Class Members when this happens. We have audited 94 Monetary Award claims more than one time (the same number we reported in Status Report No. 14); the most times a Monetary Award claim has been audited is twice.

VI. DERIVATIVE CLAIMANTS

21. *Derivative Claims.* We have received 601 Derivative Claim Packages (an increase of two since Status Report No. 14). Table 15 shows the status of these claims:

Table 15		DERIVATIVE CLAIMS	
	STATUS	HOW MANY	% OF TOTAL
1.	Paid Derivative Claimant Award (\$982,969 ²⁴)	220	37%
2.	Derivative Claimant Award Notice Issued but Not Paid (\$11,058.84)	2	<1%
3.	Denied – Associated Retired NFL Football Player’s Claim Denied	48	8%
4.	Denied – No Timely Claim for Associated Retired NFL Football Player	42	7%

²⁴ This includes a Supplemental Derivative Claimant Award payment issued to a Derivative Claimant who was previously paid an initial Derivative Claimant Award.

Table 15		DERIVATIVE CLAIMS	
	STATUS	HOW MANY	% OF TOTAL
5.	Denied – No Timely or Proper Registration for Associated Retired NFL Football Player	34	6%
6.	Denied – Retired NFL Football Player’s Claim Withdrawn During Statute of Limitations Proceeding	16	3%
7.	Denied – Untimely Derivative Claim Package	13	2%
8.	Denied – Deceased Derivative Claimant	4	<1%
9.	Denied – Derivative Claimant Not Registered	1	<1%
10.	Successful Challenge to Derivative Claimant - Not Eligible	15	2%
11.	Withdrawn	8	1%
12.	Derivative Claim Package Receipt Notice Issued (no action required because the associated Retired NFL Football Player has not yet submitted a claim, or his claim status was not final)	198	33%
13.	Total	601	

We issued three Notices of Derivative Claimant Award since Status Report No. 14. Table 16 shows how many eligible Derivative Claimants received the entire 1% amount deducted from the associated Retired NFL Football Player’s Monetary Award, and how many shared that 1% amount with other eligible Derivative Claimants:

Table 16		SHARED AWARD STATUS FOR ELIGIBLE DERIVATIVE CLAIMANTS					
	STATUS	HOW MANY			% OF TOTAL		
		AS OF 10/11/21	AS OF 1/10/22	CHANGE	AS OF 10/11/21	AS OF 1/10/22	CHANGE
1.	Received Entire 1% Amount	59	62	+3	27%	28%	+1%
2.	Shared 1% Amount with Other Eligible Derivative Claimants ²⁵	160	160	0	73%	72%	-1%
4.	Totals	219	222	+3			

The 222 Derivative Claimants who received Notices of Derivative Claimant Award are associated with 112 Retired NFL Football Players. We issued payment to three Derivative Claimants since Status Report No. 14 and have paid 220 (99%) of the 222 Derivative

²⁵ We have not received an Allocation Objection from any of the Derivative Claimants who equally shared a 1% Derivative Claimant Award with other Derivative Claimants associated with the same Retired NFL Football Player.

Claimants with Notices of Derivative Claimant Award; one of the eligible Derivative Claimants who has not been paid is in the payment process, and the other is not yet ready for payment.

22. *Additional Derivative Claimant Details.* We received challenges from 29 Retired NFL Football Players (or their Representative Claimants) to 41 Derivative Claimants (no change since Status Report No. 8); 19 (46%) of those 41 challenged Derivative Claimants are not eligible for a Derivative Claimant Award because they never submitted a timely Derivative Claim Package. We have issued a Notice of Derivative Claim Package Submission Deadline to 474 registered Derivative Claimants. Table 17 summarizes their claim submission statuses and the changes since Status Report No. 14:

Table 17		CLAIM STATUS FOR DERIVATIVE CLAIMANTS WITH DERIVATIVE CLAIM PACKAGE SUBMISSION DEADLINE NOTICE					
	STATUS	HOW MANY			% OF TOTAL		
		AS OF 10/11/21	AS OF 1/10/22	CHANGE	AS OF 10/11/21	AS OF 1/10/22	CHANGE
1.	Claim Submitted	154	156	+2	33%	33%	0%
2.	No Claim Submitted	314	317	+3	66%	67%	+1%
3.	Within 30-Day Deadline	5	1	-4	1%	<1%	-1%
4.	Totals	473	474	+1			

23. *Supplemental Derivative Claimant Awards.* Section G of the Overview of Derivative Claimant Process on the Settlement Website (<https://www.nflconcussionsettlement.com/Docs/DerivativeClmtProcessOverview.pdf>) explains how Supplemental Derivative Claimant Awards are handled. As discussed in Paragraph 9 of this Status Report, we issued Notices of Supplemental Monetary Award to 23 Retired NFL Football Players. Of those 23, 19 Retired NFL Football Players had no registered Derivative Claimants associated with them, and three Retired NFL Football Players each had one registered Derivative Claimant, but those three Derivative Claimants

did not submit Derivative Claim Packages to share 1% of the Retired NFL Football Players' earlier Monetary Awards and were not eligible for any portion of the Players' Supplemental Monetary Awards. The last Player's Supplemental Monetary Award Notice had a 1% offset for potential Derivative Claimant Awards, which has been paid to an eligible Derivative Claimant.²⁶

VII. OTHER CLAIM PROCESSES

24. *Handling of Attempted Assignments of Claims.* On September 27, 2019, the Court issued a Notice (Document 10858) directing us to streamline the process regarding attempted assignments by Settlement Class Members of claims to third-party lenders. At that time, we suspended the process for handling such assignment questions under the Rules Governing Assignment of Claims and worked with the Court and the Special Master to modify these Rules. On March 19, 2020, the Special Masters adopted the Rules Governing Payment of Claims Involving Third-Party Funders and the Rules Governing Third-Party Funding Resolution Protocol, which superseded the previous Rules (collectively, the "New Payment Rules"). Under the New Payment Rules Governing Payment of Claims Involving Third-Party Funders, all Settlement Class Members must complete and submit a Sworn Statement regarding the Status of Assignment of Monetary Claim ("SWS-5") to receive payment. There are two versions of the SWS-5, one for those identified as a borrower by a Third-Party Funder that is participating in the Rules Governing Third-Party Funding Resolution Protocol ("Resolution Protocol") (the SWS-5(A)) and another for those not so identified (the SWS-5(B)). As of January 10, 2022, 24 Third-Party Funder entities are participating in the Resolution Protocol. We have worked with those participating funders to

²⁶ See Row 1 of Table 16 in this Status Report.

resolve cash advances for 28 Settlement Class Members since the adoption of the New Payment Rules.

25. *Petitions for Deviation from the Attorneys' Fee Cap.*²⁷ We have received seven Petitions for Deviation, one of which was withdrawn. The Court resolved three of the remaining six Petitions for Deviation in conjunction with the Attorneys' Liens Dispute Process: two by final decision, and one upheld on appeal by the Third Circuit. The other three Petitions are pending final resolution.

26. *Non-Medical Liens Process and Attorneys' Lien Disputes.*

(a) Table 18 summarizes Non-Medical Lien assertions, notices and disputes by Lien type and reflects changes to those numbers since Status Report No. 14:

Table 18		NON-MEDICAL LIENS SUMMARY								
	LIEN TYPE	LIENS ASSERTED			NOTICES OF LIEN ISSUED BY CLAIMS ADMINISTRATOR			LIENS DISPUTED BY SETTLEMENT CLASS MEMBERS		
		AS OF 10/11/21	AS OF 1/10/22	CHANGE	AS OF 10/11/21	AS OF 1/10/22	CHANGE	AS OF 10/11/21	AS OF 1/10/22	CHANGE
1.	Attorneys'	1,288 ²⁸	1,484 ²⁹	+196	489	494	+5	262	276	+14
2.	Child Support	348	350	+2	51	52	+1	19	21	+2
3.	Judgment	64	67	+3	17	17	0	8	9	+1
4.	Tax	53	57	+4	3	3	0	0	0	0
5.	Totals	1,753	1,958	+205	560	566	+6	289	306	+17

(b) Table 19 shows the status of Liens in the Attorneys' Liens dispute resolution process:

²⁷ Judge Brody entered a Memorandum and Order in April of 2018 limiting attorneys' fees in the Program to 22% of each SCM's Monetary Award, plus reasonable costs (ECF Nos. 9862 and 9863). In the Memorandum, the Judge decided that attorneys should have the opportunity to petition the Court to go above and beyond the cap in exceptional circumstances, which is what the Petitions for Deviation process is meant to cover.

²⁸ These 1,288 Attorneys' Liens were asserted by 57 law firms.

²⁹ These 1,484 Attorneys' Liens were asserted by 57 law firms.

Table 19	ATTORNEYS' LIENS IN DISPUTE RESOLUTION PROCESS ³⁰		
PENDING	RESOLVED		TOTAL
	BY AGREED WITHDRAWAL	BY COURT DETERMINATION	
43	111	20	174

(c) Table 20 breaks down the Non-Medical Lien holdbacks³¹ by Lien type:

Table 20	NON-MEDICAL LIEN HOLDBACKS			
	LIEN TYPE	MONETARY AWARDS AFFECTED	MONETARY AWARD AMOUNTS	LIEN HOLDBACKS
1.	Attorneys'	25	\$29,895,288.79	\$5,359,772.64
2.	Child Support	3	\$2,482,640.53	\$90,987.34
3.	Judgment	1	\$3,192,046	\$229,733.96
4.	Tax	0	N/A	N/A
5.	Totals	29	\$35,569,975.32	\$5,680,493.94

(d) Table 21 summarizes the Non-Medical Lien payments by Lien type:

Table 21	NON-MEDICAL LIEN PAYMENTS			
	LIEN TYPE	MONETARY AWARDS AFFECTED	MONETARY AWARD AMOUNTS	LIEN PAYMENTS
1.	Attorneys'	116	\$127,469,188.19	\$8,327,615.19
2.	Child Support	15	\$12,641,523.94	\$737,062.17
3.	Judgment	5	\$5,802,161.20	\$2,867,886.18
4.	Tax	1	\$33,282.80	\$6,492.65
5.	Totals	137	\$145,946,156.13	\$11,939,056.19

³⁰ We refer Attorneys' Liens Disputes to the Court after we issue a Notice of Duty to Resolve Lien Dispute.

³¹ The holdbacks are the amount of funds we are withholding pending resolution of a Dispute. As of 1/10/22, there are 25 disputed Attorneys' Liens currently pending resolution by Judge Strawbridge where the Settlement Class Member has received payment of the rest of his Monetary Award. After the Court enters a final order (whether by Judge Strawbridge or Judge Brody's approval of his Report and Recommendation) resolving the Disputes and any appeal period passes, we disburse the held back funds on the next available monthly Disbursement.

VIII. COMMUNICATIONS CENTER FOR THE PROGRAM

27. *Our Contact Activity.* Since our contact center opened on February 6, 2017, we have handled 87,075 total communications, including 54,515 calls made or received and 28,401 emails to us at our Claims Administrator email box. Since Status Report No.14, we handled 1,198 such total communications. The most common topics of these communications have been General Settlement Information, Payment, Change in Lawyers, Claim Package Status – Retired Player, and MAF Physicians.

28. *Law Firm Contacts.* Our Law Firm Contacts are assigned to 564 different law firms or lawyers representing Settlement Class Members in the Program. This is one more law firm or lawyer than we reported in Status Report No. 14. The calls and emails handled by the Law Firm Contacts are part of the total contact activity described in Paragraph 27 above.

29. *Insights Newsletters.* Since Status Report No. 14, we issued one new edition of our quarterly “Insights” newsletter (Fourth Quarter 2021). We send the newsletters to unrepresented Settlement Class Members and lawyers by email or mail. We also post them to the Settlement Website at <https://www.nflconcussionsettlement.com/Newsletters.aspx> (under “Documents” click “Newsletters”). We invite all lawyers and Settlement Class Members to send us suggested topics for our newsletters by email to ClaimsAdministrator@NFLConcussionSettlement.com or through the online submission screen on the Newsletters page of the Settlement Website.

30. *Program Doctors Newsletters.* In the fourth quarter of 2020, we issued our first newsletter to MAF Physicians as a tool to share relevant and valuable information. With the Second Quarter 2021 edition, we expanded our newsletter audience to include all Program doctors. We emailed the Fourth Quarter 2021 Program Doctors Newsletter to all

Qualified MAF Physicians, Qualified BAP Providers and other approved evaluating Neuropsychologists on December 22, 2021. Qualified MAF Physicians also can access the newsletter on their MAF Physician Portals. The newsletter conveyed information on the Parties' norming agreement, criterion I of Level 1.5 and 2 Neurocognitive Impairment Qualifying Diagnoses, determining a diagnosis date and MAF Physician training. We plan to continue to issue newsletters to Program Doctors on a quarterly basis.

31. Settlement Program Website. We regularly update the Settlement Website to reflect progress and changes to the Program. We have made these changes since Status Report No. 14:

- (1) Posted a Report of the Special Masters (Document 11528, filed November 9, 2021), Claims Administrator Status Report No. 14 (Document 11529, filed November 9, 2021) and BAP Administrator Status Report 3rd Quarter 2021 (Document 11530, filed November 9, 2021) to the Status Reports page at https://www.nflconcussionsettlement.com/Status_Reports.aspx.
- (2) Added one Special Master decision to the Monetary Award Claims section of the Published Special Master Decisions page at <https://www.nflconcussionsettlement.com/PD-SpecialMasterDecisions-MonetaryAwardClaims.aspx>.
- (3) Created a new [Norming Agreement page](#) (under "Documents" click "Norming Agreement (1-3-22)") and posted two documents there, including the Court's Notice of Elimination of Race as a Consideration in Neuropsychological Test Results (Document 11568, filed January 3, 2022) and a Motion for Modifications to the NFL Concussion Settlement Agreement Pursuant to Section 6.6 with Exhibits (Document 11567, filed December 30, 2021). Refer to the Report of the Special Masters, filed in conjunction with this Claims Administrator Status Report No. 15, for more information about the Norming Agreement.
- (4) Placed a "CLICK HERE TO READ AN IMPORTANT MESSAGE FROM THE COURT" banner at the top of the Home page linking to the Court's Notice of Elimination of Race as a Consideration in Neuropsychological Test Results:

[MAF Physician Locator](#)

[Report Fraud](#)

[Key Dates](#)

[Register](#)

[Forms](#)

[Documents](#)

[FAQs](#)

[Reports & Statistics](#)

[Contact & Help](#)

[Login](#)

[CLICK HERE TO READ AN IMPORTANT MESSAGE FROM THE COURT.](#)

Since Status Report No. 14 in November 2021, the Program's Home page has had 8,086 more unique visits, giving us 612,777 total unique visits, coming from 190 countries and all 50 of the United States. The three most frequently visited pages since October, after Home and Login, were Physician Search (742 unique views), Reports and Statistics (688 views) and Alerts (673 views). Also since October, visitors conducted 3,558 searches on the website using 983 unique keywords and completed 1,300 unique downloads. The top five downloaded documents were the Settlement Agreement (382 clicks), Norming Agreement Notice (143 clicks), Monetary Award Grid (122 clicks), Diagnosis and Review Table (47 clicks) and Exhibit 1 Injury Definitions (39 clicks).

IX. SPECIAL MASTERS

32. *Our Work with the Special Masters.* Since Status Report No. 14, we continue to have regularly scheduled calls to discuss policy and operational issues, and have held 118 such calls with the Special Masters to date. We have many other calls and exchange countless emails with them to address issues as they arise. The Special Masters have the final say in how the Settlement is implemented, subject only to the Court's oversight.

33. *Program Rules.* There are 10 sets of Rules available on the Settlement Website (under "Documents," click "Governing Rules") and on the online portals of law firms, lawyers and *pro se* Settlement Class Members. We have not made changes to any posted Rules since Status Report No. 9 filed in July 2020.

34. *Published Decisions.* Since Status Report No. 14, the Special Masters have issued one new decision they designated for publication. This decision, dated October 31, 2021, relates to issues that affect how we analyze claims for Monetary Awards.

Validity Testing and Functional Impairment

October 31, 2021

The Claims Administrator denied the Level 1.5 Neurocognitive Impairment claim because of failed performance validity assessments (criterion (ii)) and insufficient evidence of mild decline in functional impairment (criterion (iii)). The Special Masters required that a member of the AAP, with assistance from an AAP Consultant, make an individualized assessment of the claim because it relied on an evaluation performed by a specific previously-audited neuropsychologist. Based on the AAP's assessment of inconsistencies involving *Slick* validity criteria and inadequate evidence of functional decline due to cognitive impairment, the Claims Administrator concluded that criteria (ii) and (iii) were not fulfilled. In rejecting the Player's appeal, the Special Master deferred to the findings of the AAP and AAP Consultant.

We post all such rulings to the Settlement Website (under “Documents” select “Special Master” under “Published Decisions” and then click the Monetary Award Claims button). The Special Masters have so far issued 39 published Monetary Award appeal decisions and 10 Audit decisions (49 total such decisions).³²

X. PROCEDURES AND FREQUENTLY ASKED QUESTIONS

35. *Frequently Asked Questions.* Since Status Report No. 13, we have not added any new FAQs or made substantive revisions to any existing FAQs. There are 374 FAQs in 17 categories. “FAQs” is one of the options in the green menu bar on the Settlement Website. These FAQs contain links to other tools and resource guides posted on the Settlement Website to help Settlement Class Members and their lawyers navigate the Program. The banner at the top of the page contains a link to a printable PDF version of the full set of FAQs. Note that when we add new FAQs, we place them within the existing set where it makes the most sense. This means that the numbering of FAQs within the set may change from time to time.

³² On October 28, 2020, the Special Master issued contextually similar decisions on deviation from BAP Criteria for six claimants. One of the six decisions appears on the Settlement Website.

XI. REGISTRATION

36. *Registration Submissions.*

(a) Sections 1 and 2 of the Summary Report on the Settlement Website cover Registration. Table 22 here shows changes in the number of timely Registration submissions since our Status Report No. 14:

Table 22		TIMELY REGISTRATION SUBMISSIONS		
	TYPE OF SETTLEMENT CLASS MEMBER	AS OF 10/11/21	AS OF 1/10/22	CHANGE
1.	Retired NFL Football Players	15,869	15,866	-3
2.	Representative Claimants	1,376	1,379	+3
3.	Derivative Claimants	3,315	3,316	+1
4.	Totals	20,560	20,561	+1

The number of Retired NFL Football Players (Row 1) went down by three from Status Report No. 14 because they were replaced by Representative Claimants. Of the 20,561 to whom we issued Registration notices, we were able to confirm that 19,400 of them are Settlement Class Members under the Settlement Agreement, 12,838 of whom are Retired NFL Football Players eligible to participate in the BAP. The other 1,161 persons are not Settlement Class Members under the Settlement Agreement because of one or more of these reasons: (1) they were on an Active Roster as of July 7, 2014; (2) they did not play “NFL Football” as defined in the Settlement Agreement; (3) they opted out of the Settlement Program; (4) they did not provide us with the information or support required by the Settlement Agreement to register, after several notices from us and up to 150 days to turn it in; or (5) they tried to register as a Derivative Claimant but did not have a relationship with the Retired NFL Football Player by which they had a right under applicable state law to sue independently or derivatively.

(b) We are responsible for determining whether registrations submitted after August 7, 2017, meet one of the good cause exceptions specified in Section 4.2(c)(i) of the

Settlement Agreement or can otherwise be accepted under the Rules Governing Registration Determinations and Appeals. We have made determinations on 304 such Registrations and found that 163 (54%) of them presented good reasons to be allowed to register after August 7, 2017. Table 23 shows the change in these numbers since Status Report No. 14:

Table 23		REGISTRATIONS SUBMITTED AFTER AUGUST 7, 2017		
	STATUS	AS OF 10/11/21	AS OF 1/10/22	CHANGE
1.	Accepted	162	163	+1
2.	Not Accepted	141	141	0
3.	Totals	303	304	+1

(c) Settlement Class Members who disagree with our Registration determinations may object to them by sending us a challenge. The NFL Parties also may challenge our good cause exception decisions. We have received 378 challenges, which is the same number we have reported since in Status Report No. 11. Table 24 explains these challenges and what happened to them:

Table 24		CHALLENGES OF OUR REGISTRATION DETERMINATIONS			
	ISSUE	HOW MANY	WHO CHALLENGED	CHALLENGE SUCCESSFUL	CHALLENGE NOT SUCCESSFUL
1.	Not a Retired NFL Football Player	46	Settlement Class Member	23	23
2.	Not Eligible for the BAP	245	Settlement Class Member	77	168
3.	Not Properly Registered	53	Settlement Class Member	44	9
4.	Granted Good Cause Extension for Untimely Registration	9	NFL	1	8
5.	Denied Good Cause Extension for Untimely Registration	23	Settlement Class Member	5	18
6.	Not a Valid Derivative Claimant Relationship	2	Settlement Class Member	0	2
7.	Totals	378		150	228

Those who are not successful in challenging Registration determinations to us may appeal our decision to the Special Masters. Table 25 shows the appeals thus far and the Special Masters' rulings on them (no changes since Status Report No. 11):

Table 25		APPEALS OF OUR DECISIONS ON REGISTRATION CHALLENGES			
	ISSUE	HOW MANY	WHO APPEALED	DECISION UPHELD	DECISION OVERTURNED
1.	Not a Retired NFL Football Player	3	Settlement Class Member	3	0
2.	Not Eligible for the BAP	26	Settlement Class Member	24	2
3.	Not a Valid Derivative Claimant Relationship	1	Settlement Class Member	1	0
4.	Denied Good Cause Extension for Untimely Registration	6	Settlement Class Member	5	1
5.	Totals	36		33	3

37. Centralized Process for Appointment of Representative Claimants and Derivative Claimant Representatives. The Special Masters have approved 439 petitions from persons to serve as the Representative Claimant of a deceased or legally incapacitated or incompetent Retired NFL Football Player and three petitions from Derivative Claimant Representatives to act on behalf of minor Derivative Claimants. There have been two new Representative Claimant approvals and no new Derivative Claimant Representatives since Status Report No. 14.

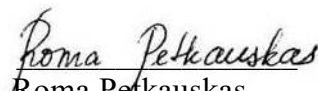
XII. CONCLUSION

38. General Status. We have 212,075 document files (21,629 gigabytes, or 21.6 terabytes of registration and claims data), including notices we have issued, stored on Settlement Class Members, which is 2,538 more than when we filed Status Report No. 14. We have issued 38,780 notices (135 more since Status Report No. 14) of various kinds

(Registration, claims, appeals, Audit, etc.) to 20,994 different persons since March 23, 2017, and developed over 100 different types of notices and forms for use in the Program.

Respectfully submitted,

CLAIMS ADMINISTRATOR

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